

CTLMM.001CP2

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Kundig et al.	)	Group Art Unit 3761
			)	
App. No.	:	09/776,232	)	
			)	
Filed	:	February 02, 2001	)	
			)	
For	:	METHOD OF INDUCING A	)	
		CTL RESPONSE	)	
			)	
Examiner	:	Hayes, Michael J.	)	

INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing four (4) documents that are also enclosed. The documents include three of four references cited in an International Search Report (ISR) received in a counterpart foreign application. The fourth reference cited in the ISR was previously submitted in an Information Disclosure Statement filed in the present case on May 3, 2001.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3).

Alternatively, if a first Office Action on the merits was mailed before the mailing date of this Statement, this Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.1113 and before the mailing date of a Notice of Allowance under

Appl. No. : 09/776,232  
Filed : February 02, 2001

§ 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 3/4/03

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SHEET 1 OF 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. CTLM001CP2	APPLICATION NO. 09/776,232
	APPLICANT Kundig et al.	
	FILING DATE February 2, 2001	GROUP 3761

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	1.	WO 99/02183A	01/21/99	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	2.	Kundig, T.M., "A Method to increase the immunogenicity of vaccines," <i>Schweiz. Rundsch. Med. Prax.</i> , 89(37):1477-1484 (September 2000) (German with English Summary).
	3.	Schott, M. et al., "Induction of cellular immunity in a parathyroid carcinoma treated with tumor lysate-pulsed dendritic cells," <i>Eur. J. Endocrinology</i> , 142(3):300-306 (March 2000).
	4.	International Search Report from PCT/US 02/02033

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	